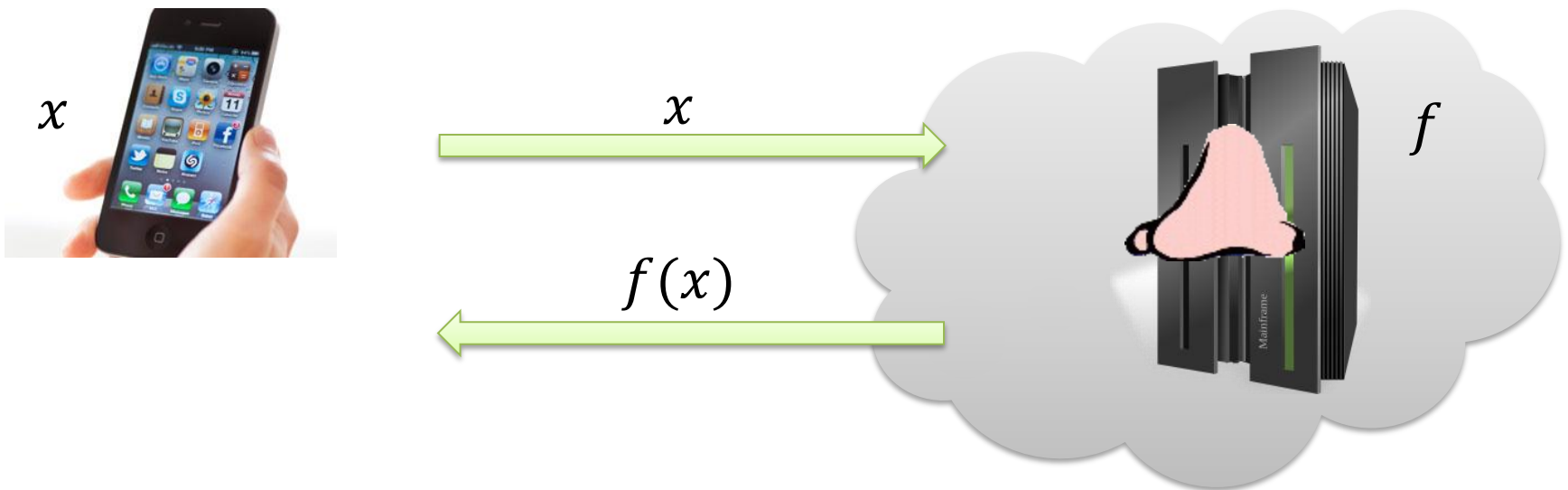


# Fully Homomorphic Encryption without Modulus Switching from Classical GapSVP

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# Outsourcing Computation

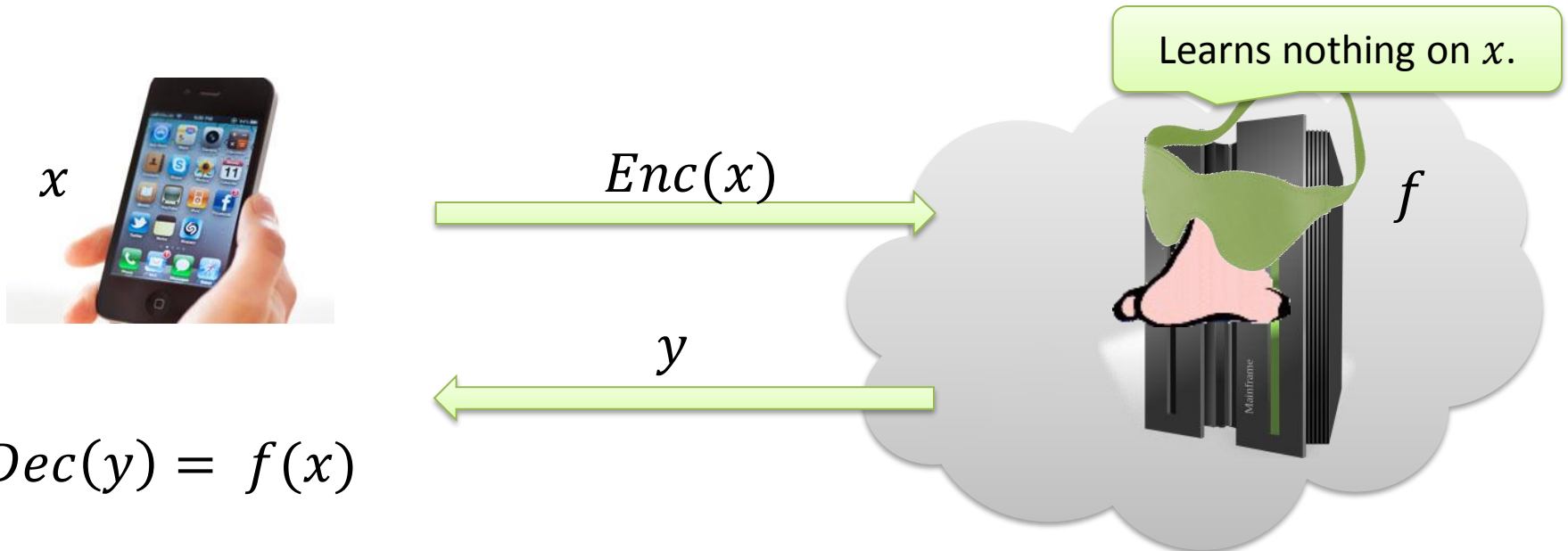


Email, web-search, navigation, social networking...

Search query, location, business information, medical information...

**What if  $x$  is private?**

# Outsourcing Computation – Privately



## Homomorphic Encryption

$$f, Enc(x_1), \dots, Enc(x_n) \rightarrow Enc(f(x_1, \dots, x_n))$$

We assume w.l.o.g  $f \in \{+, \times\}$  (over  $\mathbb{Z}_2$ ).

# The Old Days of FHE

2009-2011

- Gentry's breakthrough [G09,G10] – first candidate.
- [vDGHV10, BV11a]: Similar outline, different assumptions.
- [GH11]: Chimeric-FHE.
- Efficiency attempts [SV10,SS10,GH10,LNV11].

# 2<sup>nd</sup> Generation FHE

- [BV11b]: LWE-based FHE (= apx. short vector in lattice).
  - Better assumption.
  - Clean presentation: no ideals, no “squashing”.
  - Efficiency improvement.
- [BGV12]: Improved performance via **Modulus Switching**.
  - Quantitatively better assumption.
  - “Leveled” homomorphism without bootstrapping.
  - Efficiency improvements using ideals (“batching”).

[GHS11,GHS12a, GHS12b]: Efficiency improvements and optimizations using ideals.

This work:

Modulus switching is a red herring



“Scale-independent encryption”  
⇒ better performance with less headache

# FHE 101 [BV11b]

Security based on  $LWE_{n,q,\alpha}$

## The Scheme:

$$\left. \begin{array}{l} \text{Secret key: } \vec{s} \in \mathbb{Z}_q^n \\ \text{Ciphertext: } \vec{c} \in \mathbb{Z}_q^n \end{array} \right\} \begin{array}{l} \vec{c} \cdot \vec{s} = m + 2e + ql \in \mathbb{Z} \\ \text{small (initial) noise } |e| < B = \alpha q \\ \text{dec. if } |e|/q < \frac{1}{4} \end{array}$$

Encryption algorithm: Doesn't matter.

Decryption algorithm:  $(\vec{c} \cdot \vec{s} \pmod{q}) \pmod{2}$ .

# FHE 101 [BV11b]

## The Scheme:

Secret key:  $\vec{s} \in \mathbb{Z}_q^n$

Ciphertext:  $\vec{c} \in \mathbb{Z}_q^n$

$$\left. \begin{array}{l} \vec{s} \in \mathbb{Z}_q^n \\ \vec{c} \in \mathbb{Z}_q^n \end{array} \right\} \vec{c} \cdot \vec{s} = m + 2e + qI \in \mathbb{Z}$$

small (initial) noise  $|e| < B = \alpha q$

dec. if  $|e|/q < \frac{1}{4}$

That again? Just add'em, dude...

## Additive Homomorphism:

$$\vec{c}_1, \vec{c}_2 \Rightarrow \vec{c}_1 + \vec{c}_2 \pmod{q}$$



# FHE 101 [BV11b]

## The Scheme:

Secret key:  $\vec{s} \in \mathbb{Z}_q^n$

Ciphertext:  $\vec{c} \in \mathbb{Z}_q^n$

$$\left. \begin{array}{l} \vec{s} \in \mathbb{Z}_q^n \\ \vec{c} \in \mathbb{Z}_q^n \end{array} \right\} \vec{c} \cdot \vec{s} = m + 2e + ql \in \mathbb{Z}$$

small (initial) noise  $|e| < B = \alpha q$

dec. if  $|e|/q < \frac{1}{4}$

## Multiplicative Homomorphism:

*sk* changed...  
but we can bring it back  
(we have the technology)



$\vec{c}_2 \pmod{q}$   
cross term

**noise blows up!**

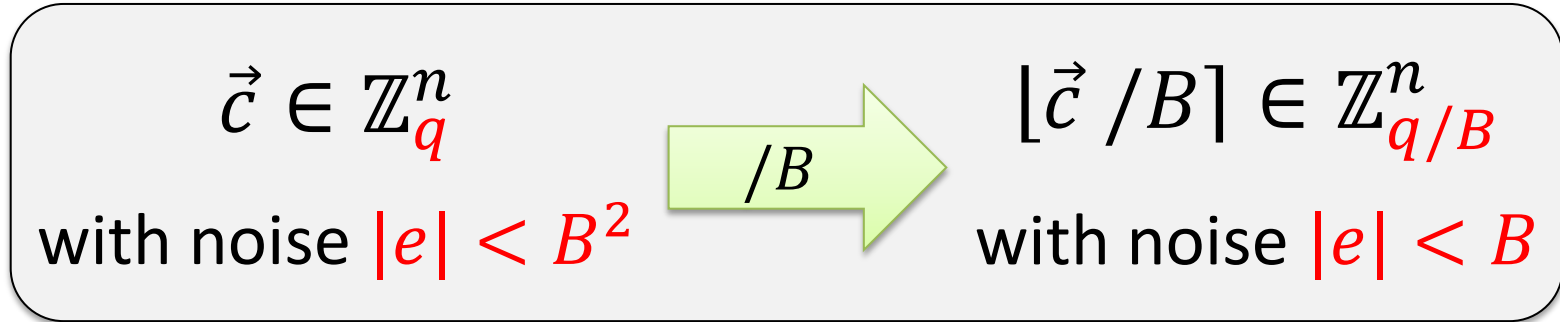
$$B \rightarrow B^2 \rightarrow \dots \rightarrow B^{2^d}$$

dec. if  $B^{2^d}/q < \frac{1}{4}$

$$\begin{aligned} (\vec{c}_1 \otimes \vec{c}_2) \cdot (\vec{s} \otimes \vec{s}) &= (\vec{c}_1 \cdot \vec{s}) \cdot (\vec{c}_2 \cdot \vec{s}) = (m_1 + 2e_1) \cdot (m_2 + 2e_2) \pmod{q} \\ &= m_1 m_2 + \underbrace{2 \cdot O(e_1 e_2)}_{\sim B^2} \pmod{q} \end{aligned}$$

# Modulus Switching [BGV12]

**Idea:** Bring noise back down by dividing the entire ciphertext by  $B$ .



(make sure not to harm the message bit  $m$ )

Noise/modulus evolution:

$$(B, q) \rightarrow (B, q/B) \rightarrow \dots \rightarrow (B, q/B^d)$$

$$\text{dec. if } B^{d+1} < q/4$$

# My Problems with Modulus Switching

## 1. Modulus switching is **scale-dependent**.

- Scaling  $B, q$  changes performance:

Smaller  $B, q \Rightarrow$  smaller  $B^{d+1}/q \Rightarrow$  better homomorphism.

## 2. What does modulus switching really do?



← nothing...

- Same as a scaling factor in the tensoring process

$$(\vec{c}_1, \vec{c}_2 \Rightarrow \tau \cdot \vec{c}_1 \otimes \vec{c}_2 \pmod{q}).$$

- In a “correct” scale, this factor should be 1.

# Our Solution: Scale-Independent FHE

Secret key:  $\vec{s} \in \mathbb{Z}^n$  }  $\vec{c} \cdot \vec{s} = m + \epsilon + 2l \in \mathbb{Z}$

Ciphertext:  $\vec{c} \in \mathbb{R}_2^n$  } small (initial) noise  $|\epsilon| < 2\alpha$

real numbers  $\text{mod } 2 \equiv (-1,1]$  } dec. if  $|\epsilon| < \frac{1}{2}$

Compare with previous:

Divide original ciphertext by  $q/2$



Secret key:  $\vec{s} \in \mathbb{Z}_q^n$  }  $\vec{c} \cdot \vec{s} = m + 2e + ql \in \mathbb{Z}$

Ciphertext:  $\vec{c} \in \mathbb{Z}_q^n$  } small (initial) noise  $|e| < B = \alpha q$

dec. if  $|e|/q < \frac{1}{4}$

Hardness assumption is the same  $LWE_{n,q,\alpha}$ .

# Scale-Independent Multiplication

$$|m + 2I| \approx |\vec{c} \cdot \vec{s}| \leq \|\vec{s}\|_1$$

Secret key:  $\vec{s} \in \mathbb{Z}^n$

Ciphertext:  $\vec{c} \in \mathbb{R}_2^n$

real numbers  $\text{mod } 2 \equiv (-1,1]$

$$\vec{c} \cdot \vec{s} = m + \epsilon + 2I \in \mathbb{Z}$$

small (initial) noise  $|\epsilon| < 2\alpha$

dec. if  $|\epsilon| < \frac{1}{2}$

## Multiplicative Homomorphism:

$$\vec{c}_1, \vec{c}_2 \Rightarrow \vec{c}_1 \otimes \vec{c}_2$$

**Careful!**  
 $(\dots \text{mod } 2)) \neq 1 \text{ (mod } 2)$

$$(\vec{c}_1 \otimes \vec{c}_2) \cdot (\vec{s} \otimes \vec{s})$$

**Noise blowup:  $\alpha \rightarrow \alpha \cdot \|\vec{s}\|_1$**

$$= (m_1 + \epsilon_1 + 2I_1) \cdot (m_2 + \epsilon_2 + 2I_2) \pmod{2}$$

$$= m_1 m_2 + \underbrace{\epsilon_1 \cdot (m_2 + 2I_2) + \epsilon_2 \cdot (m_1 + 2I_1)}_{\sim \alpha \cdot |m + 2I| \lesssim \alpha \cdot \|\vec{s}\|_1} + \underbrace{\epsilon_1 \epsilon_2}_{\sim \alpha^2 = \text{tiny!}} \pmod{2}$$

# Scale-Independent Multiplication

Secret key:  $\vec{s} \in \mathbb{Z}^n$

Ciphertext:  $\vec{c} \in \mathbb{R}_2^n$

real numbers  $\text{mod } 2 \equiv (-1, 1]$

$$\vec{c} \cdot \vec{s} = m + \epsilon + 2I \in \mathbb{Z}$$

small (initial) noise  $|\epsilon| < 2\alpha$

dec. if  $|\epsilon| < \frac{1}{2}$

## Multiplicative Homomorphism:

$$\vec{c}_1, \vec{c}_2 \Rightarrow \vec{c}_1 \otimes \vec{c}_2 \pmod{2} \in \mathbb{R}_2^{n^2}$$

Noise blowup:  $\alpha \rightarrow \alpha \cdot \|\vec{s}\|_1$

Not good enough:  $\|\vec{s}\|_1 \approx nq$

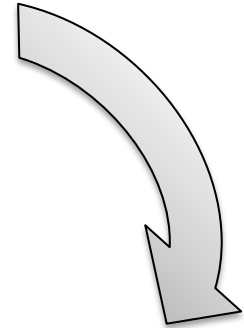
Solution: Decompose the elements of  $\vec{s}$  into  $n \log q$  bits.

# Binary Decomposition

$$\vec{s} = (s[1], s[2], \dots)$$

$$\vec{c} = (c[1], c[2], \dots)$$

$$\vec{s} \cdot \vec{c} = s[1] \cdot c[1] + s[2] \cdot c[2] + \dots$$



$$\vec{s} = (s[1]_0, \dots, s[1]_{\log q}, s[2]_0, \dots, s[2]_{\log q}, \dots)$$

$$\vec{c} = (c[1], 2c[1], \dots, 2^{\log q} c[1], c[2], 2c[2], \dots, 2^{\log q} c[2], \dots)$$

$$\vec{s} \cdot \vec{c} = \sum_i s[1]_i \cdot 2^i c[1] + \sum_i s[2]_i \cdot 2^i c[2] + \dots$$

$$= s[1] \cdot c[1] + s[2] \cdot c[2] + \dots$$

# Scale-Independent Multiplication

$$\|\vec{s}\|_1 \leq n \log q$$

Secret key:  $\vec{s} \in \{0,1\}^{n \log q}$  }  $\vec{c} \cdot \vec{s} = m + \epsilon + 2I \in \mathbb{Z}$

Ciphertext:  $\vec{c} \in \underbrace{\mathbb{R}_2^{n \log q}}_{\text{real numbers mod 2} \equiv (-1,1]}$  } small (initial) noise  $|\epsilon| < 2\alpha$

dec. if  $|\epsilon| < \frac{1}{2}$

## Multiplicative Homomorphism:

$$\vec{c}_1, \vec{c}_2 \Rightarrow \vec{c}_1 \otimes \vec{c}_2 \pmod{2} \in \mathbb{R}_2^{n^2}$$

$$\text{Noise blowup: } \alpha \rightarrow \alpha \cdot (n \log q) \leq \alpha \cdot n^2$$

For depth  $d$  circuit:  $\alpha \rightarrow \alpha \cdot n^{O(d)}$   
 regardless of scale!



# Full Homomorphism via Bootstrapping

Evaluating depth  $d$  circuit:  $\alpha \rightarrow \alpha \cdot n^{O(d)}$

For “bootstrapping”:  $d = O(\log n) \Rightarrow \alpha \rightarrow \alpha \cdot n^{O(\log n)}$

$\Rightarrow$  dec. if  $\alpha \approx n^{-O(\log n)}$  regardless of  $q$ !

(in [BGV12] only for “small” odd  $q$ )

Using  $q \approx 2^n \Rightarrow$  Hardness based on **classical** GapSVP.

# Conclusion

- Scale-independence  $\Rightarrow$  FHE without modulus switching.
- Homomorphic properties independent of  $q$ .
  - But  $q$  still matters for security.
- Properties of [BGV12] extend.
- Bonuses:
  - Our  $q$  can be even (e.g. power of 2).
  - Security based on **classical** GapSVP (as opposed to quantum).
- **Simpler!**

*also see blog post with Boaz Barak:*

[tiny.cc/fheblog1](http://tiny.cc/fheblog1) ; [tiny.cc/fheblog2](http://tiny.cc/fheblog2)



*Farewell CRYPTO '12...*

*blog post with Boaz Barak:*

[tiny.cc/fheblog1](http://tiny.cc/fheblog1) ; [tiny.cc/fheblog2](http://tiny.cc/fheblog2)