## Trapdoors for Lattices: Simpler, Tighter, Faster, Smaller

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April 2012

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#### Why?

- Simple & efficient: linear, highly parallel operations
- Resist quantum attacks (so far)
- Secure under worst-case hardness assumptions [Ajtai'96,...]
- Solve 'holy grail' problems like FHE [Gentry'09,...]



A lattice is the set of all integer linear combinations of (linearly independent) basis vectors  $\mathbf{B} = {\mathbf{b}_1, \dots, \mathbf{b}_n} \subset \mathbb{R}^d$ :

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#### Definition (Lattice)

Discrete additive subgroup of  $\mathbb{R}^d$ E.g.  $\Lambda = \{ \mathbf{x} \in \mathbb{Z}^d : \mathbf{A}\mathbf{x} = \mathbf{0} \}$ 

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$$\mathbf{b}_1 / \mathbf{b}_2$$

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#### Remark

All lattices have the same group structure, but different geometry

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- $\star$   $f_{\mathbf{A}}$  and  $g_{\mathbf{A}}$  are essentially equivalent functions
- ★ See e.g. "Duality in lattice cryptography" [M'10]
- ★ Main difference: e is even shorter than x
- ★ Notational convention:

Function	x/e	Injective	Surjective
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*f*<sub>A</sub>, *g*<sub>A</sub> in forward direction yield CRHFs, CPA-secure encryption ...and not much else.

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Invert  $\mathbf{u} = f_{\mathbf{A}}(\mathbf{x}') = \mathbf{A}\mathbf{x}' \mod q$ :

sample random  $\mathbf{x} \leftarrow f_{\mathbf{A}}^{-1}(\mathbf{u})$ with prob  $\propto \exp(-\|\mathbf{x}\|^2/\sigma^2)$ . Invert  $g_{\mathbf{A}}(\mathbf{s}, \mathbf{e}) = \mathbf{s}^{t}\mathbf{A} + \mathbf{e}^{t} \mod q$ : find the unique preimage s (equivalently, e)



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• How? Use a "strong trapdoor" for A: a short basis of  $\Lambda^{\perp}(A)$ [Babai'86,GGH'97,Klein'01,GPV'08,P'10]





# Applications of Strong Trapdoors

#### Applications of $f^{-1}$ , $g^{-1}$

- "Hash and Sign" signatures in Random oracle (RO) model [GPV'08]
- Standard model (no RO) signatures [CHKP'10,R'10,B'10]
- SM CCA-secure encryption [PW'08,P'09]
- SM (Hierarchical) IBE [GPV'08,CHKP'10,ABB'10a,ABB'10b]
- Many more: OT, NISZK, homom enc/sigs, deniable enc, func enc, ... [PVW'08,PV'08,GHV'10,GKV'10,BF'10a,BF'10b,OPW'11,AFV'11,ABVVW'11,...]

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#### Some Drawbacks...

- ✗ Generating A w∕ short basis is complicated and slow [Ajtai'99,AP'09]
- Known inversion algorithms trade quality for efficiency

	tight, iterative, fp	looser, parallel, offline
$g_{\mathbf{A}}^{-1}$	[Babai'86]	[Babai'86]
$f_{\mathbf{A}}^{-1}$	[Klein'01,GPV'08]	[P'10]









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- $\checkmark$  Better dimension m & quality  $\sigma$

 $\implies$  "win-win-win" in security-keysize-runtime

- ✓ Very simple & fast
  - \* Generation: one matrix mult. No HNF or inverses (cf. [A'99,AP'09])
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- New kind of trapdoor not a basis! (But just as powerful.)
  - \* Half the dimension of a basis  $\Rightarrow$  4x size improvement
  - \* Delegation: size grows as O(dim), versus  $O(dim^2)$  [CHKP'10]
# **Our Contributions**

New "strong" trapdoor generation and inversion algorithms:

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- ✓ New kind of trapdoor not a basis! (But just as powerful.)

  - \* Delegation: size grows as  $O(\dim)$ , versus  $O(\dim^2)$  [CHKP'10]
- More efficient applications (beyond "black-box" improvements)

## **Concrete Parameter Improvements**

	Before [AP'09]	Now (fast $f^{-1}$ )	Improvement
Dim m	${\rm slow}\;f^{-1}:\;>5n\log q$	$2n\log q \ (\stackrel{s}{\approx})$	$25 - \log a$
	fast $f^{-1}$ : $> n \log^2 q$	$n(1 + \log q) \ (\stackrel{\scriptscriptstyle c}{pprox})$	2.0 - 10g q

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Quality $\sigma$	slow $f^{-1}$ : $20\sqrt{n\log q}$	$1.6./n \log q$	$12.5 - 10\sqrt{\log q}$
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Example parameters for (ring-based) GPV signatures:

	n	q	$\delta$ to break	pk size (bits)
Before (fast $f^{-1}$ )	436	$2^{32}$	1.007	$\approx 17 \times 10^6$
Now	284	$2^{24}$	1.007	$\approx 36 \times 10^4$

Bottom line:  $\approx$  45-fold improvement in key size.

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- Problem: Transformation distorts noise.
  Solution: add 'perturbation' during pre-/post-processing [P'10]



## Gadget ${\bf G}$ construction: the primitive vector ${\bf g}$

• Let  $q = 2^k$ . Define lattice  $\Lambda^{\perp}(\mathbf{g})$  by  $1 \times k$  "parity check" vector

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$$\blacktriangleright \text{ Define } \mathbf{G} = \mathbf{I}_n \otimes \mathbf{g} = \begin{bmatrix} \cdots \mathbf{g} \cdots & & & \\ & \cdots \mathbf{g} \cdots & & \\ & & \ddots & \\ & & & \ddots \mathbf{g} \cdots \end{bmatrix} \in \mathbb{Z}_q^{n \times nk}.$$

Now  $f_{\mathbf{G}}^{-1}$ ,  $g_{\mathbf{G}}^{-1}$  reduce to n parallel calls to  $f_{\mathbf{g}}^{-1}$ ,  $g_{\mathbf{g}}^{-1}$ .

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\*  $[\mathbf{I} \mid \bar{\mathbf{A}} \mid -(\bar{\mathbf{A}}\mathbf{R}_1 + \mathbf{R}_2)]$  is pseudorandom (under LWE) for  $\bar{m} = n$ .

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$$\mathbf{T} = \begin{bmatrix} \mathbf{I} & -\mathbf{R} \\ & \mathbf{I} \end{bmatrix} \in \mathbb{Z}_q^{(\bar{m}+n\log q) \times (\bar{m}+n\log q)}$$

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- Both T and T<sup>-1</sup> introduce relatively low (in fact, optimal) distorsion because R has small (Gaussian) entries.
- **6** A basis for  $\Lambda^{\perp}(\mathbf{A})$  is easily computed using  $\mathbf{T}$ , but never needed:  $\mathbf{R}$  serves as a new trapdoor

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Questions?